

## ANNUAL BANKERS AND BROKERS POLL

### Mechanics of the Awards' Point System Tally

#### Gathering of Nominees:

- ✓ Nomination letters were sent out to the various partners, collaborators, and counterparties to update assigned sectors / tasks of would be nominees.
- ✓ Partners and Collaborators (Bankers and Brokers) are encouraged to even nominate their own names for the particular tasks / sectors they are handling because the rationale for soliciting nominations is for update purposes since turnover in the industry is pretty high. FMAP assumes that industry practitioners may have changed institutions or assignments at any point in time during the year.
- ✓ Nominee categories were summarized into raw data format, vetted and eventually placed in ballot form.

#### Balloting:

- ✓ Official Ballot was sent to Poll Committee for comments
- ✓ Official Ballot was sent out to Member-Institution's authorized representatives by email for their voting. Two weeks will be given for voting.
- ✓ Official Votes were gathered starting November 3, 2020 and started tallying by November 12, 2020.

#### Tallying of Votes (Winner and Runner-up only - rationalized as of Feb 8/2020 planning session):

- ✓ 2 points will be given to the top choice; and 1 point to the second choice
- ✓ Points garnered by each of the nominees from all the member-institutions were summed up to determine ranking on a per category basis.
- ✓ The concept of first two winners for each category are chosen (top two only). However, some nominees garner the same number of points (tie) after tallying is completed. Winner combinations can be a) {2}: 1 winner each for 1<sup>st</sup> (top), and 2<sup>nd</sup> (runner-up); b) {2}: 2 winners tie in 1st place; and c) {3}: 1 winner in 1st place and 2 winners tie in 2nd place.

Top 3 happens when there is tie for 2<sup>nd</sup> place or runner-up.

- ✓ For the category of Sales and Execution, the vote is for the Individual: Equity Broker House will need to submit names of all sales people as well as members of the Sales Execution Team. 2 points will be given to the top nominee choice; and 1 point to the second nominee choice.
- ✓ For the Best Equities House, the points garnered by all research analysts for each category from the Broker Institution is summed up together with the points garnered for the Best Economist, Best Strategist, Best Technical Analyst categories garnered by the same institution as well as the points gathered by its Sales Team to become the summative points garnered by that Equities Broker / Institution. After totals have been computed, top 2 winners will be picked.
- ✓ For the category of Best Fixed Income House, votes tallied for the Best FI Economist, Best FI Strategist, Best Foreign Market Coverage, Best FI Sales Person, Best FI Trader (Local), and Best FI Trader (Foreign) are tallied and summed up. Top 2 winners will be picked.

Polls Committee review the results of the Tally and finalize the list of winners.